14 120 d PCT/PTO 2 0 DEC 2005 PTO-1390 (Rev. 17-2005)

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ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 450-024 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO_(If known, see 37 CFR 1.5)

| CON | CERNING A SUBMISSIO | 10/561779 | | | | | | | | |
|---|---|---|---------------------------------------|--|--|--|--|--|--|--|
| | TIONAL APPLICATION NO. 004/021928 | INTERNATIONAL FILING DATE 6 July 2004 | PRIORITY DATE CLAIMED 8 July 2003 | | | | | | | |
| TITLE OF INVENTION INSTRUMENTED DRILL HEAD, RELATED DRILLING/BOLTING MACHINES, AND METHODS | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | | | |
| HINSHAW, Gregory E.; WILSON, Henry E.; KENDALL, William, G., Jr. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| | The US has been elected (Article 31). | | | | | | | | | |
| 5. V | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| | b. has been communicated by the International Bureau. | | | | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | | |
| | a. a is attached hereto. | | | | | | | | | |
| _ | b. has been previously submit | tted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| | a. are attached hereto (requir | red only if not communicated by the Interna | tional Bureau). | | | | | | | |
| | b. have been communicated | by the International Bureau. | | | | | | | | |
| | c. have not been made; howe | ever, the time limit for making such amendr | nents has NOT expired. | | | | | | | |
| | d. An have not been made and v | vill not be made. | | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | | |
| 9. | An oath or declaration of the inventor | (s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| Items | 11 to 20 below concern document(s |) or information included: | | | | | | | | |
| 11. | An Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. | An assignment document for recording | ng. A separate cover sheet in compliance w | ith 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. 🗹 | A preliminary amendment. | | | | | | | | | |
| 14. | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | | |
| 15. | A substitute specification. | | | | | | | | | |
| 16. | A power of attorney and/or change of address letter. | | | | | | | | | |
| 17. 🔲 | A computer-readable form of the sequ | uence listing in accordance with PCT Rule | 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 19. | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 20. 🗹 | Other items or information: Postcard | | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (if known, see 37 CER 1.5) INTERNATIONAL APPLICATION NO. | | | | | | ATTORNEY'S DOCKET NUMBER | | | |
|---|---|---|---|----------|---------------------|--------------------------|--|--|--|
| U.S. APPLICATION NO. (15 known, see 37 CER 15) INTERNATIONAL APPLICATION NO. PCT/US2004/021928 | | | | | | 450-024 | | | |
| | ng fees are submitted: | Applicant use | Office use only | | | | | | |
| a) Basic national fee\$300.00 | | | | | | \$ 300.00 | | | |
| b) Examina | ation fee | \$ 200.00 | | | | | | | |
| c) Search f | ee | \$ 500.00 | | | | | | | |
| | TOTAL OF ABOVE CAL | \$ 1000.00 | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets | Extra sheets | Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number) RATE | | RATE | | | | | |
| - 100 = | /50 = | | | | x \$250.00 | \$ | | | |
| | 30.00 for furnishing the ordate (37 CFR 1.492(e)). | \$ | | | | | | | |
| CLAIMS | NUMBER FIL | _ED | NUMBER EXTRA | | RATE | \$ | | | |
| Total claims | 23 | - 20 = | 3 | х | \$50.00 | \$ 150.00 | | | |
| Independent clai | ims 6 | - 3 = | 3 | х | \$200.00 | \$ 600.000 | | | |
| MULTIPLE DEP | ENDENT CLAIM(S) (if ap | plicable) | | + | \$360.00 | \$ | | | |
| | | | TOTAL OF ABOVE | | | \$ 750.00 | | | |
| Applicant of by ½. | laims small entity status. | See 37 CF | FR 1.27. The fees indicated al | bove a | re reduced | ^{\$} 875.00 | | | |
| | | | | | SUBTOTAL = | \$ 875.00 | | | |
| | of \$130.00 for furnishing to date (37 CFR 1.492(f)). | he English | r translation later than 30 mon | ths fror | n the earliest + | \$ | | | |
| | | | TOTAL | L NATI | ONAL FEE = | \$ 875.00 | | | |
| | g the enclosed assignment e cover sheet (37 CFR 3. | | R 1.21(h)). The assignment mu \$40.00 per property | ust be a | accompanied + | \$ | | | |
| | | \$ 875.00 | | | | | | | |
| | | | \$ | | | | | | |
| | | | \$ | | | | | | |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | | | |
| b. Please charge my Deposit Account No. 11-0978 in the amount of \$ 875.00 to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0978 A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | |
| Andrew D. Dorisio, Esq. | | | | | | | | | |
| King & Schickli, PLLC | | | | | | | | | |
| 247 North Broadway Andrew D. | | | | | | Dorisio | | | |
| Lexington, KY 40507 | | | | | | | | | |
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| REGISTRATI | | | | | | ON NUMBER | | | |

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